

STATE OF COLORADO
Department of State

1700 Broadway, Suite 270
Denver, CO 80290



Mike Coffman
Secretary of State

Holly Lowder
Director, Elections

August 31, 2007

Steve Pearson
Election Systems and Software, Inc.
11208 John Galt Blvd.
Omaha, NE 68137

Re: 2007-CDOS-ESS-001-0403 – Documentation Request

The testing board has compiled an initial list of outstanding items from the document review phase of your application for certification. These items are critical and require resolution to move forward with the conclusion of processing your voting system application for certification.

Please keep in mind that the attached list of items is current as of today, and is a formal request to receive the documentation prior to finalizing the testing process. We anticipate providing a second list to your office at the conclusion of functional testing which will include the complete list of items requested from the testing board once they have reached a conclusion on testing.

In accordance with the previous letter to your attention from Secretary Coffman on August 29th, 2007, we anticipate a response and resolution to the attached list of items no later than September 30th, 2007.

Please contact me directly if you have any questions regarding the attached list of items.

We look forward to a response from your organization regarding your position on these matters.

Sincerely,

A handwritten signature in blue ink, appearing to read "John Gardner".

John Gardner
Voting Systems Program Manager
Colorado Department of State
1700 Broadway, Ste 270
Denver, CO 80290
Office: 303.894.2200 ext. 6318
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Summary of ES&S ITA Documentation

1. The Unity Election Management System v.3.0.1.1 (Amendment A) and AutoMARK Voting System 1.1 were tested with all of the components with the specified firmware, with the following exceptions:

iVotronic DRE equipment, v. 9.1.6.2 – version tested was 9.1.6.0 & 9.1.6.1

The ITA qualification report does not have an FEC VSS 2002 functional requirements matrix. However, there is a document with the SOS file name of “Attachment A 3011 FCA Testing Review” that does contain an FEC VSS 2002 functional requirements matrix. The document was created by SysTest, is 49 pages long, and the footer contains the following information file reference: SLdoc#-092002-02 rev 00 . The applicability of this document is unknown.

Action required: Request ITA documentation that includes an FEC VSS 2002 functional requirements matrix for both the Unity and the AutoMark systems. This may be done by furnishing the rest of the FCA document that goes with the Attachment A file that we already have, if applicable.

2. The following components do not have any ITA documentation:
AutoMARK Voter Assist Terminal (VAT), v. 1.1.2258
M100 OMR Precinct Counter, v. 5.2.1.0
M650 Central Count Ballot Tabulator (Green Light), v. 2.1.0.0
Compact Flash Multi-Card Reader/Writer, v. 9.1.0.0 (Gang Burner)

Action required: Request ITA documentation that includes an FEC VSS 2002 functional requirements matrix.

3. The following components have ITA documentation with an FEC VSS 2002 functional requirements matrix, but the firmware tested (v. 9.0.0.0) is not the same as specified..
iVotronic, v 9.1.6.2 - 15” Supervisor Terminal
iVotronic, v 9.1.6.2 - 15” Non ADA Voter Terminal and attached RTAL printer
iVotronic, v 9.1.6.2 - 15” ADA Voter Terminal with 4 button audio and attached RTAL printer

Action required: Request ITA documentation that contains the correct firmware version as was tested by the ITA.